

CERTIFICATE OF HAND DELIVERY			
I hereby certify that this correspondence is being hand-delivered to the U.S. Patent and Trademark Office addressed to: <u>Office of Petitions</u> , U.S. Patent Office, <u>Crystal City, Arlington, VA</u> on _____			
Typed or printed name		<u>Victoria Messenger</u>	
Signature		Date	<u>9/15/03</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Rong-Chiang Liang

Serial No: 10/092,936

Filed: March 6, 2002

For: Electrophoretic Display and Novel Process  
for Its Manufacture

Examiner: 2873

Group Art No.: TRA, Tuyen Q.

RECEIVED

SEP 15 2003

OFFICE OF PETITIONS

**OFFICE OF PETITIONS**

Crystal Plaza 4

Suite C323

Arlington, Virginia 22202

Sir:

**TRANSMITTAL LETTER**

Transmitted herewith for filing in the above-entitled patent application are the following:

1. IDS W/ PTO 1449 Form/Copy of Cited reference
2. Return Receipt Postcard

**Deposit Account Authorization**

[X] Please charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 08-1641. This is not, however, an authorization to pay the issue fee. A duplicate of this document is enclosed.

Respectfully submitted,



Stacy Ann Hegle  
Attorney for Applicants  
Reg. No. 50,687

Date: Sept 12, 2003

Heller Ehrman White & McAuliffe  
275 Middlefield Road  
Menlo Park, California 94025-3506  
(650) 833-7385

#10

PATENT

Attorney Docket No. 26822-0002 P2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application )

Inventor(s): LIANG, Rong-Chang, et al. )

Application No.: 10/092,936 )

Filed: March 6, 2002 )

Title: Electrophoretic Display and Novel  
Process for its Manufacture )

Art Unit: 2873

Examiner: TRA, Tuyen Q.

**RECEIVED**

SEP 15 2003

**INFORMATION DISCLOSURE STATEMENT**

OFFICE OF PETITIONS

**OFFICE OF PETITIONS**

Crystal Plaza 4  
Suite C323  
Arlington, Virginia 22202

Sir:

The attached IDS is being filed after a petition under 37 C.F.R. 1.313 and RCE, both filed on September 9, 2003. Please include this IDS in the file for the Examiner's review.

**Listed on an attached Form PTO-1449 is information known to applicant(s).**

The reference listed on the attached Form 1449 is a related US Patent Application. Applicants propose this document for consideration for completeness in cross-citation within the related applications.

A copy of each listed publication and U.S. and foreign patent, except for pending non-published U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 CFR 1.97-1.98.

Applicants respectfully request that the information listed on the attached Form 1449 be considered by the Examiner and made of record in the above-identified application. The Examiner is requested to initial and return the enclosed Form 1449 substitute.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☒ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

- ☐ a certification as specified in §1.97(e) is provided below; or
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 26822-0002 P2).

Respectfully submitted,

Dated: Sept 12, 2003

By: Stacy Ann Hegle

Stacy Ann Hegle  
Patent Agent, Reg No. 50,687

Heller Ehrman White & McAuliffe LLP  
275 Middlefield Road  
Menlo Park, CA 94025-3506  
(650) 833-7385  
Customer No. 25213

<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>			ATTY. DOCKET NO. 26822-0002 P2		SERIAL NO. 10/092,936	
			APPLICANTS: RONG, Chang-Liang, et al.			
			FILING DATE: 03/06/2002		GROUP: 2873	
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	2002/0182544	12/05/02	Chan-Park, et al.			
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY (Inventor)	CLASS	SUBCLASS	TRANSLATION
						YES
						<input type="checkbox"/> <input type="checkbox"/>
						<input type="checkbox"/> <input type="checkbox"/>
						<input type="checkbox"/> <input type="checkbox"/>
						<input type="checkbox"/> <input type="checkbox"/>
						<input type="checkbox"/> <input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d).